

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2013-14 Summary

TABLE A

COUNTY: Santa Clara

DATE: _____

PEI Statewide Funds assigned to CalMHSAs? (Y/N)										
Fiscal Year 2013-14	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹										
a Local Prudent Reserve									\$19,941,232	\$19,941,232
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds				\$2,387,405	\$4,421,423					\$6,808,828
f FY 2010-11 Funds			\$5,083,718	\$2,000,000	\$9,459,000	\$289,900				\$16,832,618
g FY 2011-12 Funds	\$2,467,488	\$9,112,373	\$2,324,632	\$48,141	\$135,115	\$291,950				\$14,379,699
h FY 2012-13 Funds	\$50,429,698	\$12,615,018	\$3,317,690	\$0	\$0					\$66,362,406
i Cumulative Interest	\$255,183	\$170,128	\$72,544	\$28,710	\$87,836	\$1,642				\$616,043
j TOTAL	\$53,152,369	\$21,897,519	\$10,798,584	\$4,484,256	\$14,103,374	\$583,492	\$0	\$0	\$19,941,232	\$124,940,826
2 MHSA Funds Revenue in FY 2013-14²										
a Transfer of funds from the Local Prudent Reserve									\$0	\$0
b FY 2013-14 MHSA Revenue Received	\$43,171,010	\$10,792,752	\$2,840,198							\$56,803,960
c FY 2013-14 Interest Earned on MHSA Funds	\$283,502	\$118,597	\$53,419	\$12,521	\$57,917	\$1,241			\$84,115	\$611,312
d TOTAL	\$43,454,512	\$10,911,349	\$2,893,617	\$12,521	\$57,917	\$1,241	\$0	\$0	\$84,115	\$57,415,272
3 Expenditure and Funding Sources for FY 2013-14³										
A MHSA Funds										
a FY 2006-07 MHSA Funds										\$0
b FY 2007-08 MHSA Funds										\$0
c FY 2008-09 MHSA Funds										\$0
d FY 2009-10 MHSA Funds				\$2,346,942	\$1,870,168					\$4,217,110
e FY 2010-11 MHSA Funds										\$0
f FY 2011-12 MHSA Funds	\$2,467,488	\$9,112,373	\$2,324,632			\$289,900				\$14,194,393
g FY 2012-13 MHSA Funds	\$41,648,237	\$3,501,491	\$827,856							\$45,977,584
h FY 2013-14 MHSA Funds	\$0									\$0
MHSA Net Expenditures Subtotal for FY 2013-14	\$44,115,725	\$12,613,864	\$3,152,488	\$2,346,942	\$1,870,168	\$289,900	\$0	\$0		\$64,389,087
i Interest										\$0
B Other Funds										
a 1991 Realignment										\$0
b Behavioral Health Subaccount	\$3,538,266	\$2,088,672								\$5,626,938
c Other	\$11,653,742	\$5,179,576	\$506,056							\$17,339,374
d TOTAL MHSA and Other Funds	\$59,307,733	\$19,882,112	\$3,658,544	\$2,346,942	\$1,870,168	\$289,900	\$0	\$0		\$87,355,399
e Total Program Expenditures	\$59,307,733	\$19,882,112	\$3,658,544	\$2,346,942	\$1,870,168	\$289,900	\$0	\$0		\$87,355,399

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	
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Fiscal Year 2013-14	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴										
a FY 2011-12	\$0									\$0
b FY 2012-13	\$0									\$0
c FY 2013-14	\$0									\$0
5 Adjustments⁵										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds										\$0
i FY 2013-14 Funds										\$0
j Interest										\$0
k TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6 Unspent Funds in the Local MHS Fund⁶										
a Local Prudent Reserve Balance									\$20,025,347	\$20,025,347
b FY 2006-07 Funds				\$0						\$0
c FY 2007-08 Funds				\$0	\$0					\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$40,463	\$2,551,255	\$0	\$0	\$0		\$2,591,718
f FY 2010-11 Funds	\$0	\$0	\$5,083,718	\$2,000,000	\$9,459,000	\$289,900	\$0	\$0		\$16,832,618
g FY 2011-12 Funds	\$0	\$0	\$0	\$48,141	\$135,115	\$2,050	\$0	\$0		\$185,306
h FY 2012-13 Funds	\$8,781,461	\$9,113,527	\$2,489,834	\$0	\$0					\$20,384,822
i FY 2013-14 Funds	\$43,171,010	\$10,792,752	\$2,840,198	\$0	\$0					\$56,803,960
j Interest	\$538,685	\$288,725	\$125,963	\$41,231	\$145,753	\$2,883	\$0	\$0		\$1,143,240
k TOTAL	\$52,491,156	\$20,195,004	\$10,539,713	\$2,129,835	\$12,291,123	\$294,833	\$0	\$0	\$20,025,347	\$117,967,011

Estimated FFP Revenue Generated In FY 2013-14	Amount
Federal Financial Participation (FFP)	\$14,935,251

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