

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Community Services and Supports (CSS) Summary**

County: **Imperial County** Date: **12/22/2015**

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Youth and Young Adult - Full Service Partnerships	\$3,398,333
2 Adult and Older Adult - Full Service Partnerships	\$1,262,504
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25	
Subtotal FSP Programs	\$4,660,837
Non-FSP Programs	
1 Recovery Center Program - General System Dev.	\$4,068,442
2 Outreach & Engagement	\$528,885
3 Outreach & Engagement - TESS Program	\$466,029
4 Outreach & Engagement - First Episode Psychosis	\$64,917
5 Community Program Planning	\$2,497,690
6 Housing	\$0
7	
8	
9	
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11	
12	
13	
14	
15	
Subtotal Non-FSP Programs	\$7,625,963
Total FSP and Non-FSP Programs	\$12,286,800
CSS Evaluation	
CSS Administration	\$1,360,040
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$13,646,840

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Prevention and Early Intervention (PEI) Summary**

County: Imperial County

Date:

12/22/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 Prevention Program - Independent Contractors	\$82,408
2	
3	
4	
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8	
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11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$82,408
PEI Programs-Early Intervention	
1 Trauma Focused - Cognitive Behavioral Therapy	\$631,423
2	
3	
4	
5	
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12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$631,423
PEI Programs-Other	
1 Statewide Prevention and Early Intervention Project	\$48,915
2	
3	
Subtotal PEI Programs-Other	\$48,915
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$762,746
PEI Evaluation	\$0
PEI Administration	\$429,393
Total PEI Expenditures	\$1,192,139

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Innovation (INN) Summary**

County: Imperial County

Date:

12/22/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 First Steps to Success	\$465,631
2	\$0
3	\$0
4	\$0
5	\$0
6	\$0
7	\$0
8	\$0
9	\$0
10	\$0
11	\$0
12	\$0
13	\$0
14	\$0
15	\$0
16	\$0
17	\$0
18	\$0
19	\$0
20	\$0
21	\$0
22	\$0
23	\$0
24	\$0
25	\$0
Subtotal	\$465,631
Innovation Evaluation	\$0
Innovation Administration	\$5,063
Total Innovation Expenditures	\$470,694

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Workforce Education and Training (WET) Summary**

County: Imperial County **Date:** 12/22/2015

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$0
Training and Technical Assistance	\$88,637
Mental Health Career Pathways Programs	\$26,466
Residency and Internship Programs	\$0
Financial Incentive Programs	\$0
Total WET Programs	\$115,103
WET Administration	\$1,681
Total WET Expenditures	\$116,784

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Capital Facilities/Technological Needs (CF/TN) Summary**

County: Imperial County **Date:** 12/22/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	
2	
3	
4	
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8	
9	
10	
11	
12	
Total CF Projects	\$0
Capital Facility Administration	
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1 CareConnect & Order Connect	\$109,757
2 Consumer Connect	\$0
3 Document Imaging & Signature Capture	\$2,250
4 Project ITEMS	\$344,273
5	
6	
7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$456,280
Technological Needs Administration	\$15,434
Total Technological Needs Expenditures	\$471,714
Total CFTN Expenditures	\$471,714

Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Other MHSA Funds Summary

County: Imperial County **Date:** 12/22/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	
WET Regional Partnerships	
PEI Statewide Projects	

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15 Summary

TABLE A

COUNTY: Imperial County

DATE: 12/23/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N)											
		Y									
Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹											
a Local Prudent Reserve										\$130,047	\$130,047
b FY 2006-07 Funds				\$18,290							\$18,290
c FY 2007-08 Funds				\$503,000	\$401,063						\$904,063
d FY 2008-09 Funds			\$137,258		\$492,900	\$28,300					\$658,458
e FY 2009-10 Funds			\$264,900			\$28,300					\$293,200
f FY 2010-11 Funds			\$589,894			\$28,300					\$618,194
g FY 2011-12 Funds			\$252,167			\$28,300					\$280,467
h FY 2012-13 Funds		\$489,653	\$394,933								\$884,586
i FY 2013-14 Funds	\$3,622,624	\$1,167,433	\$307,219								\$5,097,276
j Cumulative Interest	\$12,034	\$6,589	\$3,658	\$3,583	\$5,129						\$30,993
k TOTAL	\$3,634,658	\$1,663,675	\$1,950,029	\$524,873	\$899,092	\$113,200	\$0	\$0	\$0	\$130,047	\$8,915,574
2 MHSA Funds Revenue in FY 2014-15²											
a Transfer of funds from the Local Prudent Reserve										\$0	\$0
b FY 2014-15 MHSA Revenue Received	\$6,536,553	\$1,634,138	\$430,036								\$8,600,727
c FY 2014-15 Interest Earned on MHSA Funds	\$15,615	\$13,605	\$4,299	\$2,880	\$4,078						\$40,477
d TOTAL	\$6,552,168	\$1,647,743	\$434,335	\$2,880	\$4,078	\$0	\$0	\$0	\$0	\$0	\$8,641,204
3 Expenditure and Funding Sources for FY 2014-15³											
A MHSA Funds											
a FY 2006-07 MHSA Funds				\$18,290							\$18,290
b FY 2007-08 MHSA Funds				\$98,494	\$401,063						\$499,557
c FY 2008-09 MHSA Funds					\$70,651						\$70,651
d FY 2009-10 MHSA Funds											\$0
e FY 2010-11 MHSA Funds											\$0
f FY 2011-12 MHSA Funds			\$252,169								\$252,169
g FY 2012-13 MHSA Funds		\$489,653	\$218,525								\$708,178
h FY 2013-14 MHSA Funds	\$3,622,624	\$247,965									\$3,870,589
i FY 2014-15 MHSA Funds	\$3,255,324										\$3,255,324
MHSA Net Expenditures Subtotal for FY 2014-15	\$6,877,948	\$737,618	\$470,694	\$116,784	\$471,714	\$0	\$0	\$0	\$0		\$8,674,758
B Other Funds											
a 1991 Realignment											\$0
b Behavioral Health Subaccount	\$1,186,975	\$37,323									\$1,224,298
c Other	\$5,581,917	\$417,198									\$5,999,115
d TOTAL MHSA and Other Funds	\$13,646,840	\$1,192,139	\$470,694	\$116,784	\$471,714	\$0	\$0	\$0	\$0		\$15,898,171
e Total Program Expenditures	\$13,646,840	\$1,192,139	\$470,694	\$116,784	\$471,714	\$0	\$0	\$0	\$0		\$15,898,171

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Y
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Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴											
a FY 2012-13	\$0										\$0
b FY 2013-14	\$0										\$0
c FY 2014-15	\$0										\$0
5 Adjustments⁵											
a Local Prudent Reserve											\$0
b FY 2006-07 Funds											\$0
c FY 2007-08 Funds											\$0
d FY 2008-09 Funds											\$0
e FY 2009-10 Funds											\$0
f FY 2010-11 Funds											\$0
g FY 2011-12 Funds											\$0
h FY 2012-13 Funds											\$0
i FY 2013-14 Funds											\$0
j FY 2014-15 Funds											\$0
k Interest											\$0
TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6 Unspent Funds in the Local MHS Fund⁶											
a Local Prudent Reserve Balance										\$130,047	\$130,047
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$404,506	\$0						\$404,506
d FY 2008-09 Funds	\$0	\$0	\$137,258	\$0	\$422,249	\$28,300	\$0	\$0			\$587,807
e FY 2009-10 Funds	\$0	\$0	\$264,900	\$0	\$0	\$28,300	\$0	\$0			\$293,200
f FY 2010-11 Funds	\$0	\$0	\$589,894	\$0	\$0	\$28,300	\$0	\$0			\$618,194
g FY 2011-12 Funds	\$0	\$0	-\$2	\$0	\$0	\$28,300	\$0	\$0			\$28,298
h FY 2012-13 Funds	\$0	\$0	\$176,408	\$0	\$0						\$176,408
i FY 2013-14 Funds	\$0	\$919,468	\$307,219	\$0	\$0						\$1,226,687
j FY 2014-15 Funds	\$3,281,229	\$1,634,138	\$430,036	\$0	\$0		\$0		\$0		\$5,345,403
k Interest	\$27,649	\$20,194	\$7,957	\$6,463	\$9,207	\$0	\$0	\$0	\$0		\$71,470
TOTAL	\$3,308,878	\$2,573,800	\$1,913,670	\$410,969	\$431,456	\$113,200	\$0	\$0	\$0	\$130,047	\$8,882,020

TABLE B ⁷	
Estimated FFP Revenue Generated in FY 2014-15	Amount
Federal Financial Participation (FFP)	\$3,643,165

RER Contact Person	
Name	Andrea Kuhlen
Title	Deputy Director
Phone	(442)265-1602
Email	andreakuhlen@co.imperial.ca.us

Annual Mental Health Services Act Revenue and Expenditure Report for
Year 2014-15
Adjustments Summary

Fiscal

County: _____

Date: 12/22/2015

FY	Amount	Reason For Adjustment
TOTAL	\$0	
	\$0	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments recorded on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2014-15 RER. The report is available at:

http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html

³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁷ The FFP amount represents the estimated FFP revenue generated in FY 2014-15 and attributable to MHSA funds.